

Citation: WW v Minister of Employment and Social Development, 2021 SST 18

Tribunal File Number: AD-20-812

BETWEEN:

W. W.

Appellant (Claimant)

and

Minister of Employment and Social Development

Respondent (Minister)

SOCIAL SECURITY TRIBUNAL DECISION **Appeal Division**

DECISION BY: Neil Nawaz

DATE OF DECISION: January 25, 2021



DECISION AND REASONS

DECISION

[1] The appeal is allowed.

OVERVIEW

[2] This case is about documents that allegedly went missing in transit.

[3] The Claimant is a 47-year-old former pharmacist's assistant and customer service representative who suffers from Crohn's disease. She stopped working in January 2018 because of increasing intestinal pain, among other symptoms.

[4] The following month, the Claimant applied for a Canada Pension Plan disability pension. The Minister refused the application, because, in its view, the Claimant had not shown that she was regularly incapable of pursuing a substantially gainful occupation.¹

[5] The Claimant appealed the Minister's refusal to the Social Security Tribunal's General Division. It dismissed the appeal after finding insufficient evidence of a severe and prolonged disability.

[6] The Claimant is now requesting leave to appeal from the Tribunal's Appeal Division. She alleges that the General Division broke a promise to consider additional medical documents that she thought were important to her case.

BACKGROUND

[7] At the start of the General Division hearing, held by teleconference on September 10, 2020, the Claimant asked the presiding member whether it was too late to submit additional documents.² She said that she had recent test results and other medical information that she thought would help the member decide her case. Then there was this exchange:³

¹ The Minister determined that the Claimant's coverage for disability benefits was due to end on December 31, 2018, the end of her minimum qualifying period.

² Hearing recording at 2:00.

³ Hearing recording, 2:55.

Member: Have you emailed the Tribunal previously?
Claimant: No, I haven't. I couldn't even get to my email because I don't have—
Member: [Interrupting] Is your email address stringblaster73@gmail.com?
Claimant: That's right, yeah.

The member told her that he would give her a week to send the documents to the Tribunal, and he read out the email address that she was to use. After the hearing, the member followed up with a letter that extended the deadline to September 23, 2020.⁴

[8] The General Division issued its decision on September 29, 2020, In its written reasons, the General Division noted that it had proceeded without having received any additional documents from the Claimant:

I explained to the Claimant during the oral hearing how the lack of evidence of a severe disability may affect her claim. She told me that she had more medical information that would help her appeal that had not been submitted yet. I gave her the opportunity to send more records in. She did not provide any more records or contact the Tribunal to say that there would be a delay.⁵

[9] The Claimant now insists that she did email additional medical records to the Tribunal within the deadline established by the presiding General Division member. The Claimant enclosed with her leave to appeal application three documents⁶ that she claims to have sent to the Tribunal on September 15, 2020:

- an operative report dated May 27, 2020 by Dr. Robert Martin, gastroenterologist (two pages);
- a biopsy pathology report dated June 8, 2020 (one page); and
- a letter dated September 15, 2020 by Dr. Sunita McMullin, general practitioner (one page).

It appears that none of this material was previously submitted to the General Division. The Claimant says that she emailed the documents from a public library because she cannot afford

⁴ Tribunal letter dated September 10, 2020, GD4.

⁵ General Division decision, paragraph 18.

⁶ See Claimant's leave to appeal application, AD1-8 to AD1-11.

her own internet account. The records to which I have access show no indication that the Tribunal ever received these documents, either before or after the General Division's deadline.

[10] I gave the Claimant an opportunity to prove that she had, in fact, sent additional documents to the Tribunal. She responded with a signed letter from the manager of her local library confirming that, on September 15, 2020, a four-page email was sent from her branch to the following address: "info.sst.tss@canada."⁷ Accompanying the letter was a partially redacted log, which I am reproducing here, of what appeared to be all emails sent from the branch between July 9, 2020 to October 31, 2020:

No.	Addressee	Start Time	Туре	Prints	Result	Note
082		10-31 09:44	E-mail	001	OK	SKM_C300120103109440
081		10-31 09:40	E-mail	001	OK	SM_C300120103109400
180		10-31 09:39	E-mail	001	OK	SIM_C300120103109390
179		10-31 09:38	E-mail	001	OK	SKM_C300120103109370
78		10-30 13:43	E-mail	004	OK	SkM_C300120103013430
	A STATE OF A	10-30 10:17	E-nail	008	OK	SIM_C300120103010160
77						
176		10-29 14:12	E-mail	002	OK	SKM_C300120102914120
75		10-28 12:19	E-mail	001	OK	SkM_C300120102812170
174		10-27 14:04	E-mail	002	OK	SKM_C300120102714040
73		10-24 10:50	E-mail	003	OK	SKM_C300120102410490
172		10-24 10:45	E-mail	003	OK	SKM_C300120102410450
171		10-22 14:30	E-mail	004	OK	SKM_C300120102214300
70		10-20 13:29	E-mail	001	OK	SkM_C300120102013290
169		10-16 13:39	E-mail	001	OK	SKM_C300120101613390
86		10-16 08:26	E-mail	001	OK	SIM C300 120101608250
167		10-15 12:01	E-mail	001	OK	SkM_C300120101512010
166		10-15 11:53	E-mail	001	OK	SIM_C300120101511530
65	anter public and a state	10-15 11:50	E-mail	002	OK	SIM_C300120101511500
164		10-15 11:42	E-mail	001	OK	SKM_C300120101511421
		10-15 11:42		001	OK	SKM_C300120101511420
63	No. of the second s		E-mail			
62		10-15 11:31	E-mail	001	OK	SKM_C300120101511310
161	A Real Property of the second second second	10-15 11:28	E-mail	002	OK	SIM_C300120101511280
160		10-15 10:35	E-mail	001	OK	SIM_C300120101510350
159	Contraction of the local division of the loc	10-14 19:22	E-mail	001	OK	SIM_C300120101419221
58		10-14 19:22	E-mail	001	OK	SKM_C300120101419220
157		10-14 19:11	E-mail	001	OK	SKM_C300120101419110
56	AND	10-13 14:06	E-mail	001	OK	SKM_C300120101314060
155		10-10 09:57	E-mail	001	OK	SKM_C300120101009570
154	And the second s	10-08 11:37	E-mail	001	OK	SKM_C300i20100811370
153		10-08 11:36	E-mail	001	OK	SIM_C300120100811360
152	Contraction of the second	10-08 11:29	E-mail	001	OK	SIM_C300120100811290
151	The state of the second se	10-06 13:29	E-mail	001	OK	SIM_C300 i 20100613290
350	ALL THE ST. SALES AND ALL AND A	10-01 14:44	E-mail	001	OK	SKM_C300120100114430
149	The second second second second	09-29 14:33	E-mail	001	OK	SKM C300120092914331
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148	A THE CASE AND AND A THE A		E-mail	001		SIM_C300120092914330
147	Contraction of the second second	09-29 09:58	E-mail	001	OK	SKM_C300120092909580
146	And a supervised in the second	09-29 09:55	E-mail	002	OK	SIM_C300120092909550
45		09-29 09:54	E-mail	002	OK	SIM_C300120092909541
144		09-29 09:54	E-mail	002	OK	SKM_C300120092909540
43		09-29 09:51	E-mail	001	OK	SIM_C300120092909510
142		09-25 10:33	E-mail	001	OK	SIM_C300120092510330
141	A REAL PROPERTY AND A REAL	09-22 13:24	E-mail	001	OK	SKM_C300120092213240
40	info.sst-tss@canada.	09-15 14:30	E-mail	004	OK	SIM_C300120091514300
39	stringblaster738gmai	09-15 14:30	E-mail	004	OK	SIM C300120091514290
38 (Constanting of the local division in	09-15 13:55	E-mail	001	OK	SIM_C300120091513530
37		09-15 09:02	E-mail	006	ÖK	SKM_C300120091509020
36	A CARL PROVIDE AND A CARL OF A CARL	09-08 14:13	E-mail	001	OK	SKM_C300120090814130
35	Personal Constants	08-20 13:05	E-mail	001	OK	SIM_C300120082013050
34	The Alter Sector and a first	08-12 13:04	E-mail	001	OK	Sky_C300120081213040
33	A DESCRIPTION OF THE OWNER OF THE				OK	
		08-12 12:40	E-mail	008		SKM_C300120081212390
32		08-08 08:29	E-mail	001	OK	SIM_C300120080808290
31	and the second	08-06 09:33	E-mail	005	OK	SKM_C300120080609320
30	Martin Contractor State	07-31 08:02	E-mail	001	OK	SIM_C300120073108020
29	A CONTRACTOR OF	07-30 13:10	E-mail	001	OK	SIM_C300120073013100
28		07-22 18:13	E-mail	001	OK	SIM_C300120072218130
27		07-21 15:21	E-mail	002	OK	SKM_C300i20072115210
26		07-21 15:15	E-mail	002	OK	SIM_C300120072115140
25	the management of the state	07-15 18:49	E-mail	003	OK	SKM_C300120071518490
124	A CONTRACTOR OF THE OWNER OF	07-15 15:21	E-mail	001	OK	SIM_C300120071515210
123	The second se	07-09 11:46	E-mail	001	OK	SKM_C300120070911460

Result OK: TX completed successfully, S-OK: TX stopped, NG: TX error, Memory Full: Memory full

⁷ Letter dated November 3, 2020 from Krista Blyth, branch manager, Chipman Public Library, Chipman, New Brunswick, AD2-5.

At the Appeal Division hearing, the Claimant testified about her attempt to send the documents to the Tribunal. She said that she lives in rural New Brunswick and has no internet access. She said that, a few days after her General Division hearing, she drove to her local library. It offers a document scanning and email service at the desk, and she asked staff to send her documents to the email address that the member had given her, as well as to her personal email address. After receiving the Appeal Division's request for evidence that she had, in fact, sent the documents, she went back to the library and was given a printout showing what emails had been sent from the branch and when.

ISSUES

[11] There are only three grounds of appeal to the Appeal Division. A claimant must show that the General Division acted unfairly, interpreted the law incorrectly, or based its decision on an important error of fact.⁸

[12] At the hearing, we discussed three questions:

- Did the Claimant submit her additional medical evidence to the General Division within the established deadline?
- If so, did the General Division fail to observe a principle of natural justice by not considering, as promised, the Claimant's additional medical evidence?

[13] My job was to determine whether these issues fell into one or more of the permitted grounds of appeal and, if so, whether any of them had merit.

ANALYSIS

[14] The Claimant alleges that the General Division treated her unfairly. She says that the General Division should not have issued its decision without considering, as promised, her additional medical evidence.

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⁸ Department of Employment and Social Development Act (DESDA), s. 58(1).

[15] Having reviewed the record and listened to the Claimant's testimony, I have decided that the General Division breached a principle of natural justice when it proceeded to render a decision in the absence of her additional evidence.

[16] These are my reasons.

The Claimant submitted her additional evidence within the deadline

[17] The Claimant insists that she submitted the additional medical evidence only a few days after the General Division hearing. I believe her.

[18] She testified before me that she had no means of her own to fax or email her documents to the Tribunal. She noted that she was under severe time pressure because the General Division had originally given her only seven days make her submission.⁹ She reasoned that the fastest way to get her documents to the Tribunal was to use facilities available at the local library. On September 15, five days after the General Division hearing, she drove to the nearest town and asked library staff there to email her documents to the email address the General Division member had given her: info.sst-tss@canada.gc.ca.

[19] The Claimant later furnished evidence that she had done just that. After receiving my leave to appeal decision, she returned to the library and obtained a letter from the branch manager that said:

An email consisting of four pages was sent from this facility on September 15^{th} , 2020 at 14:30 to the following address, info.sst-tss@canada.

This information reflected what appeared to be an extract from a log of emails sent from the branch, all of them redacted except two that were sent to the following addresses:

info.sst-tss@canada.

⁹ The hearing recording indicates that the presiding General Division member spoke over the Claimant at one point and did not give her a chance to explain that she had limited access to the internet and email. The General Division's original seven-day deadline may have prompted the Claimant to send her documents by a method that was less familiar to her than post, which is what had she used for her previous submissions to the Tribunal.

stringblaster73@gmail

The second email address, the Claimant testified, is her own. Even though she does not have regular access to email, she wanted her own electronic copy of the documents.

[20] Lacking suffixes, the email addresses listed in the library manger's letter and accompanying log are obviously incomplete and incorrect. The Minister's representative seized on this to argue that the General Division could not have received the documents, because the Claimant sent them to the wrong email address. I am not so sure. When I examine the log, it looks like there is room for only a limited number of characters in the "Addressee" column, cutting off the ends of the both visible email addresses. To me, it seems likely that the library manager, perhaps without thinking about it too much, simply copied the truncated SST email address from the log to her letter.

[21] For that reason, I cannot agree with the Minister's representative that the incorrect email address in the letter definitively proves that the Claimant (or library staff) bungled the sending of her documents. In fact, I think it far more likely that the Clamant did succeed in sending her documents to the Tribunal on September 15, 2020. I can't be sure what happened to them after they arrived at the Tribunal. I have no doubt that the presiding General Division member never saw them, but I also know that the Tribunal has an administrative structure in which the email could have been ignored or misplaced. Mistakes sometimes happen, even in highly systematized bureaucracies. I strongly suspect that the Claimant's email was lost somewhere in the Tribunal shortly after receipt.

The General Division violated natural justice by not considering the additional evidence

[22] The Minister argues that, even if the General Division received the documents by the specified deadline, it did the Claimant no injustice by ignoring them. The documents, said the Minister, were irrelevant, and their exclusion from evidence caused the Claimant no harm.

[23] For the following reasons, I disagree.

The Claimant's additional documents are relevant

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[24] According to the Minister, it doesn't matter whether the Claimant sent in additional medical information, because that information would not have changed the outcome of the General Division's proceeding.

[25] My review of the documents leads me to another conclusion. It is not my role at this point to determine whether the document prove her disabled, but I can say that they meet a minimum threshold of relevance to the main issue. None of the documents had been previously submitted to the General Division, and they all deal with Crohn's disease, the Claimant's primary condition. While it is true, as the Minister says, that the documents are all dated after December 31, 2018, they were all prepared within two years of the MQP.

The Claimant has the right to present her fullest case

[26] While the General Division has the discretion to admit or refuse evidence, such discretion must be exercised in keeping with the principles of natural justice. One of those principles is the right to be heard, which means that a claimant must be given a full and fair opportunity to present relevant evidence in support of their case. Here, the Claimant was unrepresented and may not have appreciated the quality and quantity of evidence generally required to prove disability. Gathering and submitting medical evidence is not easy at the best of times, and it is all the more difficult during a pandemic. The record shows that the Claimant did everything reasonably possible to get her three medical reports to the Tribunal in time but, for whatever reason, they were misplaced.

[27] The Claimant had a right to expect that the General Division would consider her supplemental evidence before dismissing her case. I say this bearing mind the courts' view that benefits-conferring legislation should be interpreted in a broad and generous manner.¹⁰ In my view, such legislation must also encompass procedural regulations governing the submission of documents.

REMEDY

[28] The Appeal Division can provide a remedy for errors committed by the General Division. I have the power to: (i) give the decision that the General Division should have given; (ii) refer

¹⁰ See Re Rizzo & Rizzo Shoes Ltd., 1998 SCC 837.

the matter back to the General Division for reconsideration; or (iii) to confirm, rescind, or vary the General Division's decision.¹¹

[29] The Appeal Division is required to conduct proceedings as quickly as circumstances and considerations of fairness allow but, in this case, I feel my only option is to return this matter to the General Division for another hearing, subject to directions.

[30] I do not think that the record is complete enough to allow me to decide this matter on its merits. The General Division lost medical documents that, if they had been considered, might have produced a different outcome for the Claimant. Unlike the Appeal Division, the General Division's primary mandate is to hear evidence and make findings of fact on questions of disability. As such, it is better positioned than I am to assess whether the Claimant is regularly incapable of substantially gainful employment.

CONCLUSION

[31] For the reasons discussed above, I am allowing this appeal because the General Division misplaced the Claimant's additional medical reports, thereby depriving her of her right to present her full case.

[32] I am returning this matter to the General Division for another hearing. If possible, I ask that it be assigned to the same member who heard it previously.

hicha

Member, Appeal Division

HEARD ON:	January 7, 2021
METHOD OF PROCEEDING:	Videoconference
APPEARANCES:	W. W., Claimant T. W., Representative for the Claimant

¹¹ DESDA, s. 59(1).

Suzette Bernard, Representative for the Minister